



12-15-00

SECTOR \$ #3

ALLO 4180  
PATENT

UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Kuo et al.  
Serial No. 09/670,630  
Filed 27 Sep 00  
For MULTIPLE WAVELENGTH TDMA OPTICAL NETWORK

December 12, 2000

**LETTER IN RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF APPLICATION - FILING DATE GRANTED**

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS,

SIR:

\* In response to the "Notice to File Missing Parts of Application - Filing Date Granted,"  
\* dated November 17, 2000, enclosed is applicants' signed Declaration and Power of Attorney in  
\* the above-referenced application. A copy of the Notice is returned herewith.

\* Also enclosed is a check in payment of the surcharge and filing fee including additional  
claims.

In addition, please find the signed Verified Statement (Declaration) Claiming Small  
Entity Status (37 C.F.R. 1.9(f) and 1.27(c)) - Small Business Concern.

An assignment by the inventor(s) to Alloptic, Inc. is being mailed today.

Respectfully submitted,

Michael J. Thomas, Reg. No. 39,857  
SENNIGER, POWERS, LEAVITT & ROEDEL  
One Metropolitan Square, 16th Floor  
St. Louis, Missouri 63102  
(314) 231-5400

**FEE TRANSMITTAL**

#3

Application Number 09/670,630  
Filing Date 27 Sep 00  
First Named Inventor Jer-Chen Kuo  
Group Art Unit 2633  
Attorney Docket Number ALLO 4180

**METHOD OF PAYMENT**

1. ☐ The Commissioner is hereby authorized to charge the indicated fees to Deposit Account No. 19-1345.
- ☒ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17 to Deposit Account No. 19-1345.
- ☒ Applicant claims small entity status
- ☒ Check Enclosed. The Commissioner is hereby authorized to charge any under payment or credit any over payment to Deposit Account No. 19-1345.



**FEE CALCULATION**

- ☒ BASIC FILING FEE Subtotal (1) \$345.00  
(Type: utility)
2. ☒ EXTRA CLAIM FEES Subtotal (2) \$39.00
- Total Claims 20  
Independent Claims 04  
Multiple Dependent Claims 00
3. ☒ ADDITIONAL FEES Subtotal (3) \$65.00
- ☒ Surcharge - late filing fee or oath  
☐ Surcharge - late provisional filing fee or cover sheet  
☐ Extension for reply within \_\_\_\_\_ month  
☐ Notice of Appeal  
☐ Filing a Brief in Support of an appeal  
☐ Request for ex parte Reexamination  
☐ Petitions to the Commissioner  
☐ Submission of Information Disclosure Statement  
☐ Recording each patent assignment per property  
☐ Request for Continued Examination  
☐ Other: \_\_\_\_\_

**TOTAL AMOUNT OF PAYMENT** \$449.00

*Michael J. Thomas*

Michael J. Thomas, Reg. No. 39,857

*12/12/00*

Date

MJT/cjl

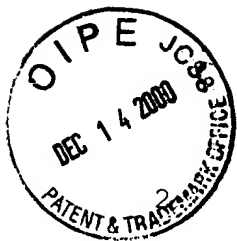
#3

**FEE TRANSMITTAL**

Application Number 09/670,630  
Filing Date September 27, 2000  
First Named Inventor Jer-Chen Kuo  
Group Art Unit 2633  
Attorney Docket Number ALLO 4180

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- ☒ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17 to Deposit Account No. 19-1345.
- ☒ Applicant claims small entity status
- ☒ Check Enclosed. The Commissioner is hereby authorized to charge any under payment or credit any over payment to Deposit Account No. 19-1345.



**FEE CALCULATION**

1. ☐ BASIC FILING FEE Subtotal (1) \$ \_\_\_\_\_  
(Type: \_\_\_\_\_)
2. ☐ EXTRA CLAIM FEES Subtotal (2) \$ \_\_\_\_\_
- Total Claims \_\_\_\_\_  
Independent Claims \_\_\_\_\_  
Multiple Dependent Claims \_\_\_\_\_
3. ☒ ADDITIONAL FEES Subtotal (3) \$40.00
- ☐ Surcharge - late filing fee or oath  
☐ Surcharge - late provisional filing fee or cover sheet  
☐ Extension for reply within \_\_\_\_\_ month  
☐ Notice of Appeal  
☐ Filing a Brief in Support of an appeal  
☐ Request for ex parte Reexamination  
☐ Petitions to the Commissioner  
☐ Submission of Information Disclosure Statement  
☒ Recording each patent assignment per property  
☐ Request for Continued Examination  
☐ Other: \_\_\_\_\_

**TOTAL AMOUNT OF PAYMENT** \$40.00

*Michael J. Thomas*

Michael J. Thomas, Reg. No. 39,857

*12/12/00*

Date

MJT/cjl

#3



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/670,630	09/27/2000	Jer-Chen Kuo	ALLO 4180

321  
SENNIGER POWERS LEAVITT AND ROEDEL  
ONE METROPOLITAN SQUARE  
16TH FLOOR  
ST LOUIS, MO 63102

## FORMALITIES LETTER



\*OC000000005563277\*

Date Mailed: 11/17/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$78.
  - \$78 for 1 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 898.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/20/2000 REGISTRATION 00000669 191345 09670630  
01 FC:201 355.00 DP  
02 FC:205 65.00 DP  
03 FC:202 29.00 DP  
11.00 CH